Ester-Enolate Claisen Rearrangement of a-Amino Acid Derivatives

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With the standard procedure for the Ireland-Claisen rearrangement, using **2** equiv of base, allylic esters of N-acyl α -amino acids are converted to the rearranged γ , δ -unsaturated α -amino acids in moderate to good yield and diastereoselectivity. Moderate variation, but not reversal, of stereoselectivity is seen on usin or conditions. Variation in the substituents on the amino group, α -position, and allylic alcohol moiety was also studied. For each case in which the stereochemistry of the rearranged product was proven, it was consistent with predominant formation of the *E* enolate (enolate oxygen and anionic acylamido substituent cis). The rearrangement was also applied successfully to the synthesis of highly hindered amino acids and to cycloalkenyl-substituted analogues. In a number of instances, comparison was made with an alternative rearrangement procedure involving an oxazole intermediate.

The ester-enolate version of the Claisen rearrangement has become an important method for acyclic stereocontrol since Ireland and his co-workers developed a practical procedure several years ago.' Because of our interest in this topic, we studied the influence of heteroatom substituents in the α -position of the carboxylic acid moiety, seeking to extend the scope of the Ireland-Claisen rearrangement to the synthesis of α -hydroxy and α -amino acid derivatives. Among other considerations, we wanted to explore the possibility that such α substituents, particularly ionizable ones, could influence or control the stereochemistry of enolate formation and hence of the stereochemical course of the rearrangement. Apart from interest in the ester-enolate Claisen rearrangement per se, we were stimulated to apply this reaction to α -amino acid derivatives because of the increasing importance of γ , δ -unsaturated analogues **as** naturally occurring, biologically active compounds 2^{-4} and as synthetic precursors to related materials.⁵ In this paper we report our findings in the α **amino** acid series; the following paper concerns the Claisen rearrangement of α -hydroxy acid derivatives.

Prior to our investigation, a version of the Claisen rearrangement had been developed for N-benzoyl α -amino acid esters by Steglich and his co-workers. 6 This process involves formation and rearrangement of an intermediate oxazole (eq 1). The sequence proceeds in good to excellent

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- **(2) (a) S. Santoso, T. Kemmer, and W. Trowitzsch,** *Liebigs Ann. Chem.,* **642 (1981); (b)** *ibid.,* **658 (1981).**
- **(3) V. Cramer. A. G. Rehfeldt. and F. SDener. -I** *Biochemistrv.* **"I 19.3074** . *(1* **980).**

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(6) (a) N. Engel, B. Kiibel, and W. Steglich, *Angew. Chem., Znt. Edit. Engl.,* **16,394 (1977); (b) B. Kubel,** *G.* **Hofle, and W. Steglich,** *ibid.,* **14, 58 (1975).**

Standard conditions: deprotonation at **-15 "C** with **2.1** equiv of lithium **isopropylcyclohexylamide** or lithium diisopropylamide; silylation with Me,SiCl after 10 min; warming to reflux for **1** h; hydrolysis of silyl ester. Modifications of solvent or base or the inclusion of additional reagents are indicated. Isolated yield of material **>95%** pure. ^c Determined by ¹³C NMR. ^d Ratio not determined. *e* Product isolated was *N-(tert*bu toxycarbony1)glycine.

yield in a number of cases and has the advantage of clearly controlling the stereochemistry of the enol double bond. Because only a limited stereochemical investigation had been undertaken, in the course of our work we compared this process with the ester-enolate procedure for several substrates.

Synthesis **of** Ester Substrates. The allylic ester derivatives that we employed as substrates were synthesized in a straightforward manner by coupling the appropriate carboxylic acid and allylic alcohol using dicyclohexylcarbodiimide and 4-(dimethylamino)pyridine.⁷ The only difficulty worthy of note was obtaining pure trans-crotyl alcohol: the commercially available material we received⁸ was contaminated with 8-10% of the cis isomer and required purification by spinning-band distillation. In some of our experiments, we used stereochemically pure trans-crotyl alcohol which was prepared by the diisobutylaluminum hydride reduction of crotonaldehyde.

Rearrangement **of** *trans* -2-Butenyl *N-(tert* -Butoxycarbony1)glycinate (1): Influence **of** Deprotona-

⁽⁷⁾ A. Hassner and V. Alexanian, *Tetrahedron Lett.,* **4475 (1978). (8) Aldrich Chemical Co., Pfalz** & **Bauer, or Fluka.**

∩SiMa

Me3SiO

 $-2a-1$

tion Conditions. Our initial work involved optimization of the ester-enolate rearrangement for trans-crotyl N- **(tert-butoxycarbony1)glycinate (la),** focussing on both yield and diastereoselectivity. The results are presented in Table I. The "standard conditions"^{1a} (entry 1) proved to be the best by both criteria. Deprotonation of the ester with lithium diisopropylamide (LDA, 2.1 equiv) in THF at -75 °C, silvlation after 10 min with trimethylsilyl chloride, and warming to reflux for 1 h provide the rearranged product **2a** as a 9:l mixture of diastereomers in **W5%** yield. Shorter **(2.5** min) or longer (40 min) enolate generation times have no significant influence on yield or stereoselectivity. Nor does the use of tert-butyldimethylsilyl chloride as the silylating agent^{1a} offer any advantage. Reaction in a less polar solvent, ether (entry 2), results in a lower yield, but the stereoselectivity is unaffected. In contrast, the more highly dissociating systems with hexamethylphosphoric triamide (HMPT) as a cosolvent (entry 3) or **tetramethylethylenediamine** (TMEDA) as an additive (entry 4) show both lower yields and lower stereoselectivity. Attempts with counterions other than lithium met with mixed success: an experiment with potassium diisopropylamide gave **N-(tert-butoxycarbony1)** glycine as the only acidic product (entry **51,** whereas the use of bromomagnesium isopropylcyclohexylamide (prepared from ethylmagnesium bromide and isopropylcyclohexylamine; entry 7) showed both poor yield and poor stereoselectivity. Inclusion of magnesium chloride with the ester solution as it is added to the LDA, on the other hand, gives high selectivity (entry 6), although offering no advantage over the standard conditions.

The stereochemistry of the products was proved by hydrogenation and deprotection to give the isoleucine diastereomers. 13C NMR comparison with authentic material showed that the minor diastereomer corresponded to the natural isomer of isoleucine. This result, coupled with the known proclivity for the Claisen rearrangement of acyclic substrates to proceed via a chairlike transition state,⁹ defines the geometric preference for dianion formation to be *E,* as shown in Scheme I. The fact that more highly dissociating conditions tend to reduce the stereoselectivity of the process implies that coordination of the counterion between the carbonyl oxygen and anionic nitrogen substituent is at least partly responsible for this *E* selectivity.

Influence of N-Protecting Groups on Rearrangement of *trans* **-2-Butenyl Glycinates.** We explored a variety of other N-protected derivatives of the basic crotyl glycinate substrate using the "standard" deprotonation and rearrangement conditions (Table 11). Although both the carbobenzoxy (Cbz) and benzoyl derivatives (entries **2** and **3,** respectively) rearrange in comparable yield, the stereoselectivity is lower than for the Boc analogue. **As** noted

a Isolated yield of material >95% pure. Rearrangement by the "standard" method. b Determined by 13 C NMR.

below, this is not always the case, so we usually investigated both the N-benzoyl and N-Boc derivatives within each substrate class. The overall yield with *trans-crotyl* hippurate **(IC)** is also unaffected by the enolate generation time (10-40 min) but is reduced to only 27% in 20% HMPT/THF. The stereochemistry of the N-benzoyl, N-Cbz, and N-trifluoroacetyl products was correlated with that of the N-Boc compounds by their interconversion.

With the N-benzoyl derivative **IC** we were able to employ Steglich's procedure for generation and rearrangement of oxazoles. 5 It became apparent that this sequence is limited to α -alkyl α -amino acid derivatives: the oxazole **3** derived from trans-crotyl hippurate is formed in only 32 % yield on using a **triphenylphosphine/carbon** tetrachloride dehydrating agent, $6a$ and the product resulting from subsequent rearrangement is obtained as a 1:l mixture of diastereomers, presumably as a result of facile epimerization of the intermediate oxazolone **4** (Scheme 11).

The reduced stereoselectivity with trifluoroacetyl derivative **Id** (Table 11, entry **4)** may reflect reduced importance of the chelation depicted above because of greater acidity of the nitrogen. Our inability to isolate a rearranged product from reactions of the N-phthaloyl and N , \bar{N} -diethyl analogues (entries 5 and 6) surprised us but points to the importance of an extended conjugated system for nitrogen-substituted enolate stabilization, even if this system must bear two negative charges.

Influence of α **Substituents on Rearrangement of** *trans* **-2-Butenyl Esters.** Retaining the trans-crotyl ester moiety, we explored N-benzoyl- and N-Boc-protected alanine and valine esters as rearrangement substrates (Table III). In the alanine series, the N -benzoyl derivative rearranges with higher selectivity and yield than the Boc analogue (entries 1 and 2). The Steglich procedure (entry 3) affords nearly a quantitative yield of rearranged product, although with very low stereoselectivity in spite of the specific enol geometry embodied in the oxazole intermediate *5.* Because there is no possibility for epimerization of the oxazolone intermediate **6** in this case and since there

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Enolate, "standard" method; oxazole, Ph,P CCl,, and Determined by 13C NMR. Et,N **in MeCN at 21 "C, 1 N HCI, and THF.6a yield of material >95% pure. Isolated see text. Yield of oxazolone 7. e Stereochemistry not proven;**

is no obvious difficulty in attaining the chair conformation for rearrangement, it is surprising that the boatlike pathway is so competitive.

To prove the stereochemistry of the alanine-derived products, we sought to convert one of them to a conformationally fixed derivative such as an iodo lactone.⁵ All attempts to iodolactonize either the N-benzoyl- or *N-*Boc-protected products under conditions which would lead selectively to the β, γ -trans stereochemistry¹⁰ resulted in poor yields of mixtures of products, presumably as the result of competing attack by the N-acyl moiety. This problem was avoided by cleavage of the Boc group and conversion of the resulting amino acid to the phthaloylprotected methyl ester **10.** This material undergoes iodolactonization with iodine in acetonitrile to give the lactones **11** cleanly (eq **2),** albeit in only 30% yield. **A**

cyclization carried out on a sample of isomer 10-t that had been purified by HPLC ensured that kinetic resolution of an isomer mixture would not invalidate the results. The major isomer from rearrangement gives rise to lactone **11-t,** in which the α -methyl group shows an upfield shift in the 13C NMR in comparison to that for isomer **ll-e** (in which steric compression from the β -methyl is absent).¹¹ The preference for the *E* enolate is retained with the alanine derivative, therefore. The stereochemistries of the *N*benzoyl isomers were correlated by benzoylation of the amino acid mixture obtained by deprotection of **9a.** All attempts to remove the benzoyl group from **9b** itself failed;

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we either recovered starting material (NaOH, aqueous Me₂SO, Δ , 6 days; Na₂O₂;¹² Et₃O⁺BF₄⁻, MeOH, H⁺₁¹³ PCl₅, MeOH¹⁴) or saw decomposition (aqueous H₂SO₄, Δ ; aqueous $HClO₄$, $HOAc¹⁵$).

In the valine series, the disparity between the benzoyl and Boc derivatives becomes most dramatic: no rearranged product is obtained from the N-Boc compound (Table 111, entry **4),** while the N-benzoyl derivative affords a 90% yield **of** product by the enolate procedure, albeit almost nonstereoselectively (entry **5).** Under the normal workup conditions, the carboxylic acids **9d** are not isolated directly from rearrangement of N-benzoyl derivative **8d;** rather, the oxazolone **7** is obtained. Considering the highly substituted nature of this compound, it is not surprising that cyclization is facile.¹⁶ Interestingly, the low stereoselectivity observed in the enolate rearrangement of **8d** is opposite that obtained via the oxazole method (Table 111, entry **6).**

Unfortunately, we were unable to prove the stereochemistry of the valine-derived products conclusively. *As* in the alanine series, **all** attempts to cleave the benzamide met with failure, and we were unable to prepare a suitable substrate for iodolactonization. Other strategies for functionalization of the double bond and eventual lacsubstrate for iodolactonization. Other strategies for
functionalization of the double bond and eventual lac-
tonization were also unsuccessful (inter alia: $O_3 \rightarrow \text{NaBH}_4$;
N₁O₂ > N₁O₂ + N₁O₂ + N₁O₂ + N₁O functionalization of the double bond and eventual lactonization were also unsuccessful (inter alia: $O_3 \rightarrow NaBH_4$; NaI $O_4 \rightarrow NaBH_4$). Nonetheless, a downward trend in the proceduration in contrast in the spin in the spin in t stereoselectivity is seen on going from the glycine to alanine to valine esters, suggesting a competition between the electron effects of the acylamido group and the steric influence of an α substituent.

Influence of Substituents on the Allylic Alcohol Group. In addition to variations in N-protecting groups and substituents in the α -position, we studied variation in the allylic alcohol moiety as well (Table **IV).** For the crotyl **(tert-butoxycarbony1)glycinate** and cinnamyl hippurate esters (entries **1-4),** the expected dependence on double bond configuration is observed, although the precedentedlb reduction in selectivity is seen for the *cis*cinnamyl substrate **12c.** In the cinnamyl series, the methyl esters of the rearrangement products gave 'H *NMR* spectra which are consistent with the expected stereostructures: the major product from the cis isomer **12c** shows an upfield methyl resonance because of shielding by the β -phenyl ring in the most stable conformer,¹⁷ as illustrated in Scheme 111.

Of major interest is the ability to construct highly substituted α -amino acids by the Claisen rearrangement, as

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H. Muxfeldt and W. Rogalski, *J. Am. Chem.* **SOC., 87,932 (1965).**

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(15) R. J. L. Martin, Aust. J. Chem., 18, 807 (1965).
(16) N. L. Allinger and L. Zalkow, J. Org. Chem., 25, 701 (1960).
(17) E.g., D. Y. Curtin and S. Dayagi, C

^d C₆H₁₁ = CH₂CH₂CH=C(CH₃)₂. e Other isomer not discernible in the 13 C $a-c$ See corresponding footnotes to Table III. NMR. ^f Stereochemistry not proven; see text.

Table V. ¹³C NMR Olefinic Chemical Shifts of γ , δ -Dehydro Amino Acid Diastereomers

^{*a*} In CDCl₃, referenced to solvent as δ 77.0

Table VI. Rearrangement of 2-Cycloalkenyl Esters

 $a-c$ See corresponding footnotes to Table III. d Stereochemistry not proven; see text. e Yield of oxazolone.

shown by entries 5–8. Steglich and his co-workers applied their oxazole procedure to the geranyl ester of Nbenzoylalanine, 12d, and obtained rearranged product in high yield, apparently as a single diastereomer.^{6b} We have confirmed this result and shown that the ester enolate

affords the same product, also in good yield and as a single isomer. By ¹³C NMR or 250-MHz ¹H NMR, no evidence for diastereomeric material could be seen $(\geq 5\%)$ could have been distinguished). Ester-enolate Claisen rearrangement of the geranyl ester of N -(tert-butoxycarbonyl)glycine

^a Noncrystalline compounds purified by preparative VPC (10% SE-30 on 100-120-mesh GasChrom Q) for analysis; satisfactory analytical data for all compounds were submitted for review. ^b Decomposed on attempted distillat

similarly affords a single isomer as far as can be discerned from the ¹H and ¹³C NMR spectra. The presence of only a single isomer and difficulties similar to those encountered in the valyl series prevented us from determining the stereostructure of the geranyl-derived products.

The only ester of an acyclic, secondary allylic alcohol we examined was the $N-(tert$ -butoxycarbonyl)glycyl derivative of (E) -3-penten-2-ol, 12g. This material rearranges in excellent yield to give a >12:1 ratio of diastereomers (Table IV, entry 9). The stereochemistry of the product was assigned by ¹³C NMR comparison with analogues whose structures had been determined by other methods (see Table V). In each case, the pattern of vinyl resonances is similar: those of the RS, SR diaster eomers lie outside those of the RR, SS isomers. On the basis of this empirical correlation, and in analogy with the related rearrangements

Table **VI11** *(Continued)*

a Noncrystalline compounds purified by preparative TLC; satisfactory analytical data were reported for all compounds.

 b Exact mass reported for $[M - CH_2 = C(CH_3)_2]$ peak. c CD₃OD as the solvent. Analysis performed on the methyl ester.

e Signals due to the minor diastereomer are given in brackets.

already discussed, we assign the *RS,SR* stereochemistry to the major product obtained from **12g** as well.

Rearrangement of Cyclic Allylic Esters. α - $(2$ -Cycloalkenyl) α -amino acids have recently drawn attention because of their occurrence as unusual amino acids³ and their ability to function as bacterial growth inhibitors.² The Claisen rearrangement has obvious potential for their stereocontrolled synthesis, and we have in fact reported our initial work in this regard in preliminary form.18 As indicated in Table VI, for the glycine derivatives, the N-Boc protecting group offers obvious advantages over the N-benzoyl group in terms of yield and stereoselectivity. As we indicated previously, the *RS,SR* selectivity in this series arises from a preference for the boatlike transition state on rearrangement of the enol ethers derived from the expected *E* enolates. The surprisingly low selectivity seen for the hippuryl cases (Table VI, entries 1 and **3)** could conceivably reflect the intermediacy of an easily epimerized oxazolone, as was actually isolated from ester-enolate rearrangement of trans-crotyl N-benzoylvalinate (Table 111, entry **5),** although we saw no other evidence for its presence in this instance.

The structure proofs for these isomers relied on correlation with the known N -acetyl derivatives^{2,18} and on conversion of **15d-e** to the iodo lactone **16.18**

In contrast to the glycine series, the N-benzoyl protecting group is of necessity for rearrangement of the **2** cyclohexenyl alaninates. Furthermore, in this case the oxazole rearrangement method of Steglich and co-workers6 is clearly superior, affording an **87%** yield of a single diastereomer (Table VI, entry **6),** whereas the ester-enolate procedure gives a **2:l** mixture (entry **5).** Although we were able to iodolactonize this material using the traditional, alkaline, two-phase system,¹⁹ only the major diastereomer cyclizes. We assign structure **17** to this material in analogy with our other results, although no rigorous spectroscopic assignment could be made in the absence of the isomeric lactone.

Conclusion

The Ireland-Claisen rearrangement is clearly a versatile method for the diastereoselective synthesis of both *a-* substituted and α -unsubstituted γ , δ -unsaturated α -amino acids. Although in some instances the enolate method is surpassed by the complementary oxazole-mediated procedure, it has the advantage of being applicable when an easily cleaved N-protecting group is required **or** when a glycyl ester is the substrate.

Although the stereochemistry of some of the products was not rigorously proven, all of our observations are consistent with preferential formation of the *E* enolate, in which the anionic enolate substituents are cis **to** each other. This stereoelectronic preference, which extends to the enediolates from α -hydroxy esters as well (see following paper), appears to override other influences, although some variation in stereoselectivity is seen with different solvents and substituents.

Experimental Section

General Methods. Unless otherwise indicated, IR spectra were obtained in CHC13. NMR spectra were obtained with a Varian EM-390 (1 H only), with a Nicolet TT-23 (13 C only), or with a UCB-180, -200, or -250 spectrometer (multinuclear FT instruments equipped with Bruker (180) or Cryomagnets superconducting magnets and Nicolet computers). Unless otherwise indicated, the **NMR** solvent was CDC13, and chemical **shifts** are reported in parts per million on the δ scale, referenced to internal Me₄Si as 0 ppm (for 'H spectra) **or** to the CDC13 solvent as *77.0* ppm (for 13C spectra). **'H** NMR data are presented as chemical shift (multiplicity, number of protons, coupling constants in hertz); only the chemical shifts are presented for the 13C data. The boiling point (pressure) data given are the oven temperature (system pressure) for bulb-to-bulb distillation. Analyses were performed by the Microanalytical Laboratory of the College of Chemistry, University of California, Berkeley.

General Procedure **for** Synthesis **of** Starting Materials: (E)-2-Butenyl 24 [**(1,l-Dimethylethoxy)carbonyl]amino]** acetate (la). A mixture of 1.34 g (18 mmol) of trans-crotyl alcohol; 3.15 g (18 mmol) of **N-(tert-butoxycarbonyl)glycine,** 3.71 g (18 mmol) of **dicyclohexylcarbodiimide,** and a catalytic amount (ca. 10 mg) of **4-(dimethylamino)pyridine** in 30 mL of dry ether was stirred for 18 h at 21 °C. After removal of the precipitated dicyclohexylurea by filtration, the solution was washed with two 5-mL portions of saturated NaHCO₃, dried (K_2CO_3) , and concentrated at reduced pressure. The crude product was purified by bulb-to-bulb distillation [100 $^{\circ}$ C (0.3 torr)], affording 3.16 g (77% yield) of ester la. See Table VI1 for physical data for la and other starting materials.

General Procedure **for** Ester-Enolate Claisen Rearrangements. 3-Methyl-2-[[**(1,l-dimethylethoxy)carbonyl]** amino]-4-pentenoic Acid (2a). To a solution of 0.38 mL (1.98 mmol) of isopropylcyclohexylamine in *6.5* mL of dry **THF** at 0 "C was added 0.80 mL of 2.4 M n-butyllithium in hexane (1.93 mmol). After 10 min, the solution was cooled to -75 °C, and 211 mg (0.92 mmol) of ester la in 1 mL of THF was added over ca. 40 s. After stirring for 10 min, 0.25 mL (1.93 mmol) of trimethylsilyl chloride was added, **and** the solution was stirred for **5** min before being allowed to wann to 21 "C over a 15min **period.** Themixture **was** then kept at 55-60 "C for 1 h, cooled, and diluted with 5 **mL** of methanol to hydrolyze the silyl esters (5 min). The solution was diluted with ether and extracted with four 3-mL

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^a Acid derived from oxazolone by alkaline hydrolysis. $\,b\,$ An analytically pure sample of 4 could not be obtained due to 44 **l-Ethenyl-l,5-dimethyl-4-hexeny1)-4-methyl-2-phenyl-5(4H)-oxazolone.** *e* Satisfactory analytical data for the cominstability; no molecular ion was observed in the mass spectrum. 4-(**2-Cyclohexenyl)-4-methyl-2-phenyl-5(4H)-oxazolone.** pounds (except **as** noted) were submitted for review. f Signals due to the minor isomer are given in brackets.

portions of 2 N NaOH; the combined aqueous layer was then acidified and extracted with three 3-mL portions of CHCl₃. The organic layer was dried $(MgSO₄)$, filtered, and evaporated at reduced pressure to provide 137 mg (65% yield) of rearranged acid 2a as a 9:l mixture of diastereomers. See Table VI11 for physical data for this and other ester-enolate rearrangement products. In subsequent experiments, lithium diisopropylamide was employed in place of lithium **isopropylcyclohexylamide** with no significant difference.

General Procedure **for** Rearrangement via Oxazoles. 4-Methyl-4-(**l-methyl-2-propenyl)-2-phenyl-5(4H)-oxazolone (6).** To a solution of 200 mg (0.81 mmol) of trans-crotyl benzoylalaninate 0.30 mL (2.2 mmol) of triethylamine, and 0.28 mL (1.82 mmol) of CCl₄ in 1.5 mL of acetonitrile was added 424 mg (1.62 mmol) of triphenylphosphine. The resulting mixture was stirred at 21 °C for 12 h, becoming dark brown and giving a heavy precipitate. After concentration at reduced pressure, the mixture was applied to a preparative TLC plate (750 mg/20 cm **X** 20 cm **X** 2 mm silica gel plate), which was developed with ether. From the band at the solvent front, ether eluted 157 mg (85% yield) of the oxazolone **6** (see Table IX for physical data for this and other oxazolones). This material was hydrolyzed in 1:l 2 N NaOH/methanol for 1.5 h at 55 °C. Acidification, extraction with $CHCl₃$ drying (MgSO₄), and evaporation afforded 161 mg (95%) yield from **6)** of the acid **9b.** The yields of acids obtained by hydrolysis of the other oxazolones are given in Table IX.

Methyl $(2RS,3SR)$ -2,3-Dimethyl-2-phthalimido-4-pentenoate (10- t). A 205-mg (0.843 mmol) sample of the Boc-protected acid 9a was dissolved in 1.5 mL of trifluoroacetic acid and kept at 21 "C for 5 min. Evaporation gave the trifluoroacetate salt of the amino acid: 'H NMR **(D20)** 6 5.75-5.5 (m, l), 5.2-5.1 (m, 2), 2.6 (dq, 1, $J = 7, 7$), 1.45 (s, 3), 1.0 (d, 3, $J = 6$) (minor isomer 1.42 **(9));** I3C **NMR (D20)** 6 135.4, 120.5,43.9,20.3, 18.8, 14.3 (minor isomer 136.0, 120.0, 43.6, 13.5) (carbonyls not seen). A 630-mg (2.47 mmol) sample of similarly derived material was heated at reflux in 20 mL of toluene with 480 mg (3.21 mmol) of phthalic anhydride and 1.0 mL (7.4 mmol) of triethylamine for 12 h. After evaporation, the residue was dissolved in ether and extracted with three 5-mL portions of saturated NaHCO,. The combined aqueous layers were acidified and extracted four times with 5 mL of CHCl₃, and the organic layer was dried $(MgSO_a)$, filtered, and

evaporated to give 670 mg (100% yield) of phthalimide 2e-t: IR 3000, 1715 cm-'; 'H NMR 6 7.9-7.7 (m, 4), 5.92-5.77 (m, l), 5.11-4.9 (m, 2), 3.38 (m, 1, $J = 6$), 1.95 (s, 3), 1.15 (d, 3, $J = 6.5$) [additional resonances for minor isomer 6.15-6.0 (m), 1.25 (d, *J* $= 6.5$]; ¹³C NMR δ 168.2, 138.8, 133.9, 131.2, 123.0, 116.2, 76.3, 43.3,21.1,15.6 (additional resonances for minor isomer 168.3,138.4, 133.8, 122.9, 116.5, 43.0, 19.4, 16.2). **A** solution of 120 mg (0.44 mmol) of this material in 2 mL of methanol was treated with excess diazomethane and evaporated to give methyl ester **10.** The major isomer $[(RS, SR) - 10-t]$ was isolated in pure form by preparative HPLC (5% ethyl acetate/hexane on silica gel): IR 2950, 1720, 1600 cm⁻¹; ¹H NMR δ 7.9-7.7 (m, 4), 6.14-5.98 (m, 1), 5.1-4.92 (m, 2), 3.78 (s, 3), 3.34 (dq, 1, *J* = 7, 7), 1.87 (s, 3), 1.13 (d, 6) [the minor (RR,SS) isomer shows resonances at δ 5.88-5.73 (m) and 1.23 (d, $J = 6$)]. Anal. Calcd for C₁₆H₁₉NO₃: C, 70.31; H, 7.01; N, 5.12. Found: C, 70.05; H, 6.97; N, 5.11.

(2RS ,3RS ,4RS **)-3,4-Dihydro-2-(iodomethyl)-3,4-di-** $\text{methyl-4-phthalimidofuran-5}(2H)$ -one $(11-t)$. A mixture of 14 mg (0.049 mmol) of 10-t and 23 mg (0.089 mmol) of iodine in 0.5 mL of acetonitrile was stirred at 21 $^{\circ}$ C in the dark for 1 day, diluted with ether, washed with saturated $Na_2S_2O_3$, water, and brine, dried (MgSO₄), filtered, and evaporated to give 10 mg (52% yield) of iodo lactone 11-t as an oil: IR 2960, 2920, 1780, 1720 cm-'; 'H NMR 6 7.9-7.7 (m, 4), 4.13 (ddd, 1, *J* = 4.6, 6.2, 9.4), 3.54 (dd, 1, *J* = 4.6, lLl), 3.45 (dd, 1, *J* = 6.3, 11.2), 2.74 (dq, 1, *J* = 9.5, 6.9), 1.96 (s, 3), 1.27 (d, 3, *J* = 7.0); 13C NMR 6 174.5, 168.4, 134.4, 123.4, 81.5, 62.4, 45.1, 23.8, 11.5. When a mixture of 10-t and 10-e was treated in the same manner, additional resonances for 11-t were discernible: ¹H NMR δ 3.64 (dd, 1, J $= 4.2, 11.4$, 3.38 (dd, 1, $J = 4.0, 11.4$), 1.05 (d, 3, $J = 7.0$); ¹³C NMR 6 134.6, 123.5, 81.6, 47.3, 12.2. The analytical sample was purifed from this mixture by preparative TLC [10:10:3 hexane- /CHCl₃/ethyl acetate (silica gel)]. Anal. Calcd for $C_{15}H_{14}NO_3I$: C, 45.13; H, 3.54; N, 3.51; I, 31.79. Found: C, 45.36; H, 3.69; N, 3.51; I, 31.5.

Methyl 2- **(Benzoylamino)-3-phenyl-4-pentenoate.** Diazomethane esterification of 12b, the material obtained on rearrangement of trans-cinnamyl hippurate, afforded the *RS,RS* diastereomer of the title compound: ¹H NMR δ 7.75-7.30 (m, 10), 6.40 (br d, 1, $J = 8$), 6.30–6.05 (m, 3), 5.35–5.15 (m, 2), 3.95 (m, 11, 3.70 (9, 3). When the product from rearrangement of the *2*

ester is esterified, additional resonances attributable to the *RR,SS* diastereomer are observed: δ 6.65 (br d, $J = 8$), 3.8 (m), 3.56 (s).

(3RS,3aRS,7SR,7aSR)-Hexahydro-7-iodo-3-[[(1,l-dimet hylet hoxy)carbonyl]amino]benzofuran-2(3H)-one (16). A mixture of **100** *mg* **(0.39** mmol) of cyclohexenylglycine derivative 15d-e, **109** mg **(0.43** mmol) of iodine, and **143** mg **(0.86** mmol) of **KI** in **3** mL of saturated NaHC03 and **3** mL of ether was stirred for **12** h at **21** "C in the dark, The layers were separated, and the organic phase was washed with saturated $Na_2S_2O_3$, water, and brine, dried (MgSO₄), filtered, and evaporated to give 115 mg (78% yield) of iodo lactone 16. A sample was purified for analysis by preparative TLC: IR **3475,2950,1790,1710,1510** *cm-';* IH NMR ⁶**5.1** (br d, **1,** *J* = **8.5), 4.69** (dd, **1,** *J* = **7.5,9.7), 4.52** (dd, **1,** *J* = **12, 8.5), 3.96** (ddd, *J* = **4, 10, 13), 2.65-2.4** (m, **2), 2.18-1.4** (m, **6), 1.48 (s,9);** 13C NMR **6 140.4,83.4, 50.4,43.4, 36.6, 28.2, 27.0, 23.3,22.4.** Anal. Calcd for C13Hl9NO4I C, **40.96;** H, **5.29;** N, **3.67; I, 33.29.** Found: C, 40.68; \tilde{H} , 5.31; N, 3.50; I, 33.0.

(3RS ,3aRS **,7SR ,7aSR**)-3- **(Benzoylamino) hexahydro-7 iodo-3-methylbenzofuran-2(3H)-one (17).** A mixture of **250 mg (0.92** mmol) of cyclohexenylalanine derivative 15e-e, **255** mg (1.0 mmol) of iodine, and $1 \text{ g } (6.1 \text{ mmol})$ of KI in 5 mL of saturated NaHC03 and **10** mL of ether was stirred for **30** min at **21** "C in the dark. A workup similar to that described above afforded 80 *mg* **(22%** yield) of iodo lactone 17. Recrystallization from benzene gave analytically pure material: mp 174.5-176 °C; IR 3440, 2950, **1780,1660,1600,1500** cm-'; 'H NMR 6 **7.8-7.6** (m, **2), 7.6-7.2** (m, **3), 6.58** (br **s, l), 4.97** (br **s, 1, Avl** < **10** Hz), **4.87** (br s, **1, Avlp** < **10** Hz), **3.41** (ddd, **1,** *J* = **3.8, 6.0, 12.3), 2.0-1.25** (m, **7), 1.67** (s, **3);** 13C NMR **6 133.5, 131.9, 128.6, 80.8, 63.3,41.3, 29.1, 26.6,** 22.6, 19.5, 19.4. Anal. Calcd for C₁₆H₁₈NO₃I: C, 48.14; H, 4.54;

N, **3.51;** I, **31.79.** Found: **C, 48.05;** H, **4.57; N, 3.42; I, 31.66.**

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Registry **No.** la, **82634-92-2;** ib, **82706-21-6;** IC, **82706-22-7;** Id, 82706-23-8; le, 82706-24-9; lf, 82706-25-0; 2a-t, 82634-94-4; 2a-e, 82634-95-5; **2b-t**, **82706-26-1; 2b-e**, **82706-72-7; 2c-t**, **82706-27-2; 2c-e**, **82706-73-8;** 2d-t, **82706-28-3;** 2d-e, **82706-74-9;** 2e-t, **82706-29-4;** 2e-e, **82706-69-2;** 4, **82706-30-7; 6, 82706-31-8;** 7, **82706-32-9; Sa, 82706- 33-0;** Sb, **82706-34-1; SC, 82706-35-2; Sd, 82706-36-3;** 9a-t, **82706-37-4;** unblocked 9a-t-TFA, 82706-66-9; 9a-e, 82706-75-0; unblocked 9a-e-TFA, 82706-68-1; (RS,SR)-9b (R¹ = PhCO, H; R² = R³ = Me; R⁴ $=$ **H**), **82706-38-5;** (RR, SS) -9**b** $(R¹ = PhCO, H; R² = R³ = Me; R⁴ =$ H), **82706-39-6;** (RS, SR) -9**b** $(R^1 = \text{phthalovl}; R^2 = R^3 = \text{Me}; R^4 = \text{H}$, 82706-40-9; (RR, SS) -9b $(R^1 = \text{phthaloyl}; R^2 = R^3 = \text{Me}; R^4 = \text{H}),$ **82706-41-0;** (RS,SR)-lO-t, **82706-42-1;** (RR,SS)-lO-e, **82706-70-5;** 114, **82706-43-2;** 128, **82634-92-2;** 12b, **82706-44-3;** 1212, **82740-44-1;** 12d, **53777-91-6;** 12e, **82731-47-3; 12f, 82706-45-4;** 12g, **82706-46-5;** 13b, **82706-47-6;** 13d, **82706-48-7;** 13e, **82706-49-8; 13f, 82706-50-1;** 13g, **82706-51-2;** 14a, **82300-72-9; 14b, 82706-52-3; 14c, 82300-74-1; 14d, 82706-53-4;** 14e, **82706-54-5;** 14f, **58400-62-7;** 15a, **82706-55-6;** 15b, **82706-56-7;** 15c, **82706-57-8;** 15d-e, **62090-89-5;** 15d-t, **82706-71-6; 16, 82706-58-9;** 17, **82706-59-0; N-(tert-butoxycarbonyl)glycine, 4530- 20-5;** trans-crotyl N-benzoylalaninate, **82706-64-7;** (RS,RS)-methyl **2-benzoylamino-3-phenyl-4-pentenoate, 82706-60-3;** methyl *(RR,-* **SS)-2-benzoylamino-3-phenyl-4-pentenoate, 82706-61-4;** 4-(2-cyclo**hexenyl)-4-methyl-2-phenyl-5(4H)-oxazolone, 82706-63-6; 4-(1** ethenyl)-1,5-dimethyl-4-hexenyl)-4-methyl-2-phenyl-5(4H)-oxazolone, **82706-62-5.**

Ester-Enolate Claisen Rearrangement of Lactic Acid Derivatives

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The ester-enolate Claisen rearrangement of a number of allylic esters of a-hydroxy acids and 0-protected derivatives was studied. Crotyl lactate (lb), for example, is converted to the enediolate, silylated, and rearranged to afford the *RS,SR* and *RR,SS* diastereomers 2b and 3b in **30%** yield and a ratio of **4:l;** rearrangement of the benzyl ether of crotyl lactate shows a similar stereospecificity but higher yield. The enediolate derived from crotyl mandelate is rearranged without silylation to provide the phenyl analogues **2c** and **3c** in **59%** yield and **12:l** stereoselectivity. Only modest variation in stereoselectivity is seen on varying the solvent or conditions. On the assumption that the Claisen rearrangements proceed via the chairlike transition state, the E stereochemistry is shown to be the preferred geometry of the alkoxy enediolate and dialkoxy enolate intermediates.

The preceding paper' presents the results of our study of the Ireland-Claisen rearrangement² as a method for the stereocontrolled construction of α -amino acid derivatives. In this report, we discuss its extension to the synthesis of α -hydroxy and α -alkoxy acids. Although there have been isolated examples in cyclic systems of the application of the ester-enolate rearrangement procedure to allylic esters of α -alkoxy carboxylic acids,³ no systematic study of this variant has been undertaken. Nor has the possibility of applying the procedure to the unprotected α -hydroxy

analogues been reported. 4 For these reasons, we studied the rearrangement of a variety of acyclic 0-protected and -unprotected allylic lactate, glycolate, and mandelate esters. Although our results indicate that the utility of the ester-enolate Claisen rearrangement of such derivatives is often limited by either modest stereoselectivity or low yields, we were able to deduce the preferred stereochemistry of dialkoxy enolate or alkoxy enediolate formation for a variety of derivatives.

Rearrangement Yield and Stereoselectivity

The ester-enolate Claisen rearrangement was studied most thoroughly with use of the crotyl esters of lactic acid (lb) and its 0-benzyl derivative **(la).** With use of lithium isopropylcyclohexylamide (LICA) as the base,^{5,6} these es-

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⁽⁴⁾ While this work was in progress, Professor D. E. Bergbreiter and Professor M. Newcomb, Department of Chemistry, Texas A & M University, informed **us** of their independent observation of the Claisen re- arrangement of the enediolate of crotyl mandelate.